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A flexible pipeline combining bioinformatic correction tools for prokaryotic and eukaryotic metabarcoding

Short title:

A flexible metabarcoding pipeline based on read correction

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ABSTRACT

Environmental metabarcoding is an increasingly popular tool for studying biodiversity in marine and terrestrial biomes. As metabarcoding with multiple markers, spanning several branches of the tree of life is becoming more accessible, bioinformatic pipelines need to accommodate both micro- and macro biologists. We built and tested a pipeline based on Illumina read correction with DADA2 allowing analysing metabarcode data from prokaryotic and eukaryotic life compartments. We implemented the option to cluster ASVs into Operational Taxonomic Units (OTUs) with swarm v2, a network-based clustering algorithm, and to further curate the ASVs/OTUs based on sequence similarity and co-occurrence rates using a recently developed algorithm, LULU. Finally, a flexible taxonomic assignment of the Amplicon Sequence Variants (ASVs) was added *via* the RDP Bayesian classifier or by BLAST. We validate this pipeline with ribosomal and mitochondrial markers using eukaryotic mock communities and 42 deep-sea sediment samples. The comparison of BLAST and the RDP Classifier underlined the potential of the latter to deliver very good assignments, but highlighted the need for a concerted effort to build comprehensive, yet specific databases adapted to the studied communities. The results underline the advantages of clustering and LULU-curation for producing metazoan biodiversity inventories, and show that LULU is an effective tool for filtering metazoan molecular clusters while avoiding arbitrary relative abundance filters. Overall conservative estimates of diversity can be obtained using DADA2 and LULU correction algorithms alone, or in combination with the clustering algorithm swarm v2 (i.e. to obtain ASVs or OTUs), depending on the objective of the study.

Key words: Biodiversity, bioinformatics, environmental DNA, metabarcoding, mock communities

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INTRODUCTION

High-throughput sequencing (HTS) technologies are revolutionizing the way we assess biodiversity. By producing millions of DNA sequences per sample, HTS ~~now~~ allows broad taxonomic biodiversity surveys through metabarcoding of bulk DNA from complex communities or DNA directly extracted from soil, water, ~~or~~ air samples, i.e. environmental DNA (eDNA). First developed to unravel cryptic and uncultured prokaryotic diversity, metabarcoding methods have been extended to eukaryotes as powerful, non-invasive tools, allowing detection of a wide range of taxa in a rapid, cost-effective way using a variety of sample types (Creer et al., 2016; Stat et al., 2017; Taberlet, Coissac, Hajibabaei, & Rieseberg, 2012; Valentini, Pompanon, & Taberlet, 2009). In the last decade, these tools have been used to describe past and present biodiversity in terrestrial (Ji et al., 2013; Pansu et al., 2015; Slon et al., 2017; Yoccoz et al., 2012; Yu et al., 2012), freshwater (Bista et al., 2015; Deiner, Fronhofer, Mächler, Walser, & Altermatt, 2016; Dejean et al., 2011; Evans et al., 2016; Valentini et al., 2016), and marine (Bik et al., 2012; Boussarie et al., 2018; De Vargas et al., 2015; Fonseca et al., 2010; Massana et al., 2015; Pawlowski et al., 2011; Salazar et al., 2016; Sinniger et al., 2016) environments.

As every new technique brings on new challenges, a number of studies have put considerable effort into delineating critical aspects of metabarcoding protocols to ensure robust and reproducible results (see Fig.1 in Fonseca et al, 2018). Recent studies have addressed many issues regarding sampling methods (Dickie et al., 2018), contamination risks (Goldberg et al., 2016), DNA extraction protocols (Brannock & Halanych, 2015; Deiner et al., 2015; Zinger et al., 2016), amplification biases and PCR replication levels (Alberdi, Aizpurua, Gilbert, & Bohmann, 2017; Ficetola et al., 2015; Nichols et al., 2018). Similarly, computational pipelines, through which molecular data are transformed into ecological inventories of putative taxa, have also been in constant improvement. Indeed, PCR-generated errors and sequencing errors are major

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bioinformatic challenges for metabarcoding pipelines, as they can strongly bias biodiversity estimates (Bokulich et al., 2013; Coissac, Riaz, & Puillandre, 2012). A variety of tools have been developed for quality-filtering amplicon data ~~and~~ removing erroneous reads to improve the reliability of Illumina-sequenced metabarcode inventories (Bokulich et al., 2013; Eren, Vineis, Morrison, & Sogin, 2013; Minoche, Dohm, & Himmelbauer, 2011). Studies that evaluated bioinformatic parameters have generally found these quality-filtering steps, as well as arbitrarily set clustering thresholds are the parameters that most strongly affect biodiversity inventories produced by metabarcoding (Brannock & Halanych, 2015; Brown, Chain, Crease, MacIsaac, & Cristescu, 2015; Clare, Chain, Littlefair, & Cristescu, 2016; Xiong & Zhan, 2018).

Recent bioinformatic algorithms for the processing of metabarcode data have been developed to alleviate the influence of these two parameters. Amplicon-specific error correction methods, commonly used to correct sequences produced by pyrosequencing (Coissac et al., 2012), have now become available for Illumina-sequenced data. ~~Published~~ in 2016, DADA2 has quickly become a widely used tool for Illumina sequence correction, particularly in the microbial world, producing more accurate biodiversity inventories and resolving fine-scale variations by defining Amplicon Sequence Variants (ASVs) (Callahan et al., 2016; Nearing, Douglas, Comeau, & Langille, 2018).

Low abundance molecular clusters remain an issue in metabarcoding biodiversity inventories, as it is challenging to discriminate valid but rare clusters from spurious ones. Singleton removal (clusters with less than 1-2 total reads) is largely advocated ~~in~~ the metabarcoding community (Clare et al., 2016) to limit the inflation of diversity due to the occurrence of spurious sequences. However, this method is arbitrary and potentially hinders the detection of rare species (Frøslev et al., 2017). LULU is a newly developed curation algorithm designed to filter out remaining spurious clusters originating from PCR and sequencing errors, or from intra-individual

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variability (pseudogenes, heteroplasmy) based on objective criteria. Spurious clusters are detected based on their similarity and co-occurrence rate with more abundant clusters, allowing obtaining curated datasets while avoiding arbitrary abundance filters (Frøslev et al., 2017). The authors demonstrated their approach on metabarcoding of plants using ITS2 (nuclear ribosomal internal transcribed spacer region 2) and comparing several pipelines. Their results show that ASV definition with DADA2, subsequent clustering to address intraspecific variation, and final curation with LULU is the safest pathway for obtaining reliable and accurate metabarcoding data. The authors conclude that their validation on plants is relevant to other organism groups and other markers, while recommending future validation of LULU on mock communities.

There were historically two reasons for clustering sequences into Operational Taxonomic Units (OTUs). The first was to limit the bias due to PCR and sequencing errors (and to some extent also intra-individual variability linked to the existence of pseudogenes) by clustering erroneous (and non-target) sequences with error free target sequences. The second was to delineate OTUs as clusters of sequences that would best fit a “species level”, i.e. the Operational Taxonomic Units defined using a classical phenetic *proxy* (Sokal & Crovello, 1970).

The first issue being largely solved by the two correction algorithms DADA2 and LULU, the relevance of the second objective, i.e. the delineation of OTUs, is now being discussed. Indeed, after presenting their new algorithm on prokaryotic communities, the authors of DADA2 proposed that the reproducibility and comparability of ASVs across studies challenge the need for clustering sequences, as OTUs have the disadvantage of being study-specific and defined using arbitrary thresholds (Callahan, McMurdie, & Holmes, 2017).

Nevertheless, it is widely recognized that homogeneous entities sharing a set of evolutionary and ecological properties, i.e. species (de Queiroz, 2005; Mayr, 1942), sometimes proposed to be designed as “ecotypes” for prokaryotes (Cohan, 2001; Gevers et al., 2005), represent

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a fundamental category of biological organization that is the cornerstone of most ecological and evolutionary theories and empirical studies. ~~Keeping~~ ASV information for feeding databases and cross-comparing studies is not incompatible with their clustering into OTUs, and this choice depends on the purpose of the study (i.e. providing a census of the extent and distribution of genetic polymorphism for a given gene, or a census of biodiversity to be used and manipulated in ecological or evolutionary studies). In fact, obtaining a biodiversity inventory of metazoan communities without clustering is likely to deliver a dataset ~~hard~~ to manipulate and interpret in a community ecology framework. In such datasets each haplotype of the target gene in a given species will represent an ASV, yet very distinct levels of intraspecific polymorphism can exist in the same gene region due to both evolutionary and biological specificity (Bucklin, Steinke, & Blanco-Bercial, 2011; Phillips, Gillis, & Hanner, 2019). ~~For COI for example, this~~ has been reported among species sampled in the same habitats (Plouviez et al., 2009). ASV-based inventories will thus be biased in favour of taxa with high levels of intraspecific diversity, even though the latter are not necessarily the most abundant ones (Bazin, Glémin, & Galtier, 2006). Such bias in biodiversity inventories based on ASVs is likely to be magnified in presence-absence metabarcode datasets, commonly used for metazoan communities (Ji et al., 2013).

Clustering sequences while avoiding arbitrary clustering thresholds is possible with tools such as swarm v2, a single-linkage clustering algorithm (Mahe, Rognes, Quince, De Vargas, & Dunthorn, 2015). Based on network theory, this algorithm aggregates sequences iteratively and locally around seed sequences and determines coherent groups of sequences independent of amplicon input order, allowing highly scalable, fine-scale clustering.

Here we evaluate the performance of DADA2 and LULU, using them alone and in combination with swarm v2, to test the possibilities offered by these new tools on metazoan communities ~~revealed~~ using both a mitochondrial COI ~~marker~~ (Leray et al., 2013) and ~~the~~ 18S-

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V1V2 (Sinniger et al., 2016) ~~small subunit~~ ribosomal RNA (~~SSU~~ rRNA) ~~barcode marker~~. For each of the markers, we evaluated the effect of read correction (using DADA2), clustering (using ~~S~~warm v2), and LULU curation to select the pipeline delivering the most accurate resolution in two deep-sea mock communities. We then test the different tools on a deep-sea sediment dataset in order to select an optimal trade-off between inflating biodiversity estimates and loosing rare biodiversity. As a baseline for comparison and in the perspective of the joint study of metazoan and microbial taxa, we also analysed the 16S-V4V5 rRNA barcode on these natural samples (Parada, Needham, & Fuhrman, 2016).

Our objectives were to (1) ~~select~~ the most appropriate tools ~~allowing avoiding~~ inflating biodiversity estimates while retaining rare biodiversity and (2) discuss the use of ASV and OTU-centred datasets depending on taxonomic compartment of interest and on study objectives.

1 MATERIALS AND METHODS

1.1 Preparation of samples

Mock communities

Genomic-DNA mass-balanced metazoan mock communities were prepared using standardized 10 ng/µL DNA extracts of ten deep-sea specimens belonging to five taxonomic groups (Polychaeta, Crustacea, Anthozoa, Bivalvia, Gastropoda; Table S1). The mock communities differed in terms of ratios of total genomic DNA from each species, with increased dominance of three species and secondary species DNA input decreasing from 3% to 0.7%.

Environmental DNA

Sediment cores were collected from thirteen deep-sea sites ranging from the Arctic to the Mediterranean during various cruises (Table S2). Sampling was carried out with a multicorer

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(MUC) or with a remotely operated vehicle (ROV). Three ~~tube~~ cores were taken at each sampling station (GPS coordinates in Table S2). The sediment cores were sliced into depth layers, which were transferred into zip-lock bags, homogenised, and frozen at −80°C on board before being shipped on dry ice to the laboratory. The first layer (0-1 cm) was used ~~for~~ the present ~~analysis~~. DNA extractions were performed using approximately 10 g of sediment with the PowerMax Soil DNA Isolation Kit (Qiagen, Hilden, Germany). To increase the DNA yield, the elution buffer was left on the spin filter membrane for 10 min at room temperature before centrifugation. The ~5 mL extract was then split into three parts, one of which was kept in screw-cap tubes for archiving purposes and stored at -80°C. Negative extraction controls were included in each extraction run.

1.2 Amplicon library construction and high-throughput sequencing

Two primer pairs were used to amplify the mitochondrial Cytochrome c Oxidase subunit I (COI) and the 18S-V1V2 small-subunit ribosomal RNA (SSU rRNA) barcode genes specifically targeting metazoans, and one pair of primer was used to amplify the prokaryote 16S-V4V5 region (Table S 3). PCR amplifications, library preparation, and sequencing were carried out at Génoscope (Evry, France) as part of the eDNAbyss project.

Eukaryotic 18S-V1V2 rRNA gene amplicon generation

Amplifications were performed with the *Phusion* High Fidelity PCR Master Mix with GC buffer (ThermoFisher Scientific, Waltham, MA, USA) and the SSUF04 and SSUR22*mod* primers (Sinniger et al. 2016, Table S 3). The PCR reactions (25 µL final volume) contained 2.5 ng or less of DNA template with 0.4 µM concentration of each primer, 3% of DMSO, and 1X *Phusion* Master Mix. PCR amplifications (98 °C for 30 s; 25 cycles of 10 s at 98 °C, 30 s at 45 °C, 30 s at 72 °C;

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and 72 °C for 10 min) of all samples were carried out in triplicate in order to smooth the intra-sample variance while obtaining sufficient amounts of amplicons for Illumina sequencing.

Eukaryotic COI gene amplicon generation

Metazoan COI barcodes were generated using the mlCOIintF and jgHCO2198 primers (Leray et al. 2013, Table S 3). Triplicate PCR reactions (20 µl final volume) contained 2.5 ng or less of total DNA template with 0.5 µM final concentration of each primer, 3% of DMSO, 0.175 mM final concentration of dNTPs, and 1X Advantage 2 Polymerase Mix (Takara Bio, Kusatsu, Japan). Cycling conditions included a 10 min denaturation step followed by 16 cycles of 95 °C for 10 s, 30s at 62°C (−1°C per cycle), 68 °C for 60 s, followed by 15 cycles of 95 °C for 10 s, 30s at 46°C, 68 °C for 60 s and a final extension of 68 °C for 7 min.

Prokaryotic 16S rRNA gene amplicon generation

Prokaryotic barcodes were generated using 515F-Y and 926R 16S-V4V5 primers (Parada et al., 2016). Triplicate PCR mixtures were prepared as described above for 18S-V1V2, but cycling conditions included a 30 s denaturation step followed by 25 cycles of 98 °C for 10 s, 53 °C for 30 s, 72 °C for 30 s, and a final extension of 72 °C for 10 min.

In all cases, amplicon triplicates were then pooled and PCR products purified using 1X AMPure XP beads (Beckman Coulter, Brea, CA, USA) clean up. Aliquots of purified amplicons were run on an Agilent Bioanalyzer using the DNA High Sensitivity LabChip kit (Agilent Technologies, Santa Clara, CA, USA) to check their lengths and quantified with a Qubit fluorimeter (Invitrogen, Carlsbad, CA, USA).

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Amplicon library preparation

One hundred ng of amplicons were directly end-repaired, A-tailed and ligated to Illumina adapters on a Biomek FX Laboratory Automation Workstation (Beckman Coulter, Brea, CA, USA). Library amplification was performed using a Kapa Hifi HotStart NGS library Amplification kit (Kapa Biosystems, Wilmington, MA, USA) with the same cycling conditions applied for all metagenomic libraries and purified using 1X AMPure XP beads.

Sequencing library quality control

Libraries were quantified by Quant-iT dsDNA HS assay kits using a Fluoroskan Ascent microplate fluorometer (Thermo Fisher Scientific, Waltham, MA, USA) and then by qPCR with the KAPA Library Quantification Kit for Illumina Libraries (Kapa Biosystems, Wilmington, MA, USA) on an MxPro instrument (Agilent Technologies, Santa Clara, CA, USA). Library profiles were assessed using a high-throughput microfluidic capillary electrophoresis system (LabChip GX, Perkin Elmer, Waltham, MA, USA).

Sequencing procedures

Library concentrations were normalized to 10 nM by addition of 10 mM Tris-Cl (pH 8.5) and applied to cluster generation according to the Illumina Cbot User Guide (Part # 15006165). Amplicon libraries are characterized by low diversity sequences at the beginning of the reads due to the presence of the primer sequence. Low-diversity libraries can interfere in correct cluster identification, resulting in a drastic loss of data output. Therefore, loading concentrations of libraries were decreased (8–9 pM instead of 12–14 pM for standard libraries) and PhiX DNA spike-in was increased (20% instead of 1%) in order to minimize the impacts on the run quality.

Libraries were sequenced on HiSeq2500 (System User Guide Part # 15035786) instruments (Illumina, San Diego, CA, USA) in a 250 bp paired-end mode.

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1.3 Bioinformatic analyses

All bioinformatic analyses were performed using a Unix shell script on a home-based cluster (DATARMOR, Ifremer), available on Gitlab (https://gitlab.ifremer.fr/abyss-project/). The mock communities were analysed alongside the natural samples, and used to validate the metabarcoding pipeline in terms of detection of correct species and presence of false-positives. The details of the pipeline, along with specific parameters used for both metabarcoding markers, are listed in Table S 4.

Reads preprocessing

Our multiplexing strategy relies on ligation of adapters to amplicon pools, meaning that contrary to libraries produced by double PCR, the reads in each paired sequencing run can be forward or reverse. DADA2 correction is based on error distribution differing between R1 and R2 reads. We thus developed a custom script (*abyss-preprocessing* in abyss-pipeline) allowing separating forward and reverse reads in each paired run and reformatting the outputs to be compatible with DADA2. Briefly, the script uses cutadapt v1.18 to separate forward and reverse reads in each paired sequence file, producing two pairs of sequence files per sample named R1F/R2R and R2F/R1R, while removing primers based on a maximum error rate (-e 0.17 for 18S-V1 and 0.27 for COI , -O length of primer -1).). Each identified forward and reverse read is then renamed which the correct extension (/1 and /2 respectively), which is a requirement for DADA2 to recognize the pairs of reads. Each pair of renamed sequence files is then re-paired with BBMAP Repair v38.22 in order to remove singleton reads (non-paired reads). Optionally, sequence file names can also be renamed if necessary using a CSV correspondence file.

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Read correction, amplicon cluster generation and taxonomic assignment

Pairs of Illumina reads were corrected with DADA2 v.1.10 (Callahan et al., 2016) following the online tutorial for paired-end data (https://benjjneb.github.io/dada2/tutorial.html). Reads were filtered and trimmed with the *filterAndTrim* function and all reads containing ambiguous bases removed (truncLen at 220 for 18S and 16S, 200 for COI, maxEE at 2, truncQ at 11, maxN at 0).

The error model was calculated for forward and reverse reads (R1F/R2R pairs and then R2F/R1R pairs) with *learnErrors* based on 100 million randomly chosen bases, and reads were dereplicated using *derepFastq*. After read correction with the *dada* function, forward and reverse reads were merged with a minimum overlap of 12 nucleotides, allowing no mismatches. The amplicons were then filtered by size. The size range was set to 330-390 bp for the 18S SSU rRNA marker gene, 300-326 bp for the COI marker gene, and 350-390 bp for the 16S rRNA marker gene.

Chimeras were removed with *removeBimeraDenovo* and ASVs were taxonomically assigned via the RDP naïve Bayesian classifier method, the default assignment method implemented in DADA2. A second taxonomic assignment method was optionally implemented in the pipeline, allowing assigning ASVs using BLAST+ (v2.6.0) based on minimum similarity and minimum coverage (-perc_identity 70 and –qcov_hsp 80). The Silva132 reference database was used for the 16S and 18S SSU rRNA marker genes (Quast et al., 2012), and MIDORI-UNIQUE (Machida, Leray, Ho, & Knowlton, 2017) was used for COI. The databases were downloaded from the DADA2 website (https://benjjneb.github.io/dada2/training.html) and from the FROGS website (http://genoweb.toulouse.inra.fr/frogs_databanks/assignation/). We individually barcoded the species present in the mock communities and added their barcode sequences to all the databases. Finally, to evaluate the effect on clustered data when OTUs are to be produced, ASV tables produced by DADA2 were clustered with swarm v2 (Mahe et al., 2015) at *d=4* for 18S, *d=6* for

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COI, and *d=1* for 16S in FROGS (http://frogs.toulouse.inra.fr/) (Escudié et al., 2018). Resulting OTUs were taxonomically assigned via BLAST+ using the databases stated above.

Molecular clusters were refined in R v.3.5.1 (R Core Team, 2018). A blank correction was made using the *decontam* package v.1.2.1 (Davis, Proctor, Holmes, Relman, & Callahan, 2018), removing all clusters that were more abundant in negative control samples than in other samples. ASV/OTU tables were refined taxonomically based on their RDP or BLAST taxonomy. For both assignment methods, unassigned clusters were removed. Non-target 18S and COI clusters (bacterial, non-metazoan) as well as all clusters with a terrestrial assignment (taxonomic groups known to be terrestrial-only, such as Insecta, Arachnida, Diplopoda, Amphibia, terrestrial mammals, Stylommatophora, Aves, Onychophora, Succineidae, Cyclophoridae, Diplommatinidae, Megalomastomatidae, Pupinidae, Veronicellidae) were removed. Samples were checked to ensure that a minimum of 10,000 metazoan reads were left after refining. Finally, an abundance renormalization was performed to remove spurious positive results due to random tag switching (Wangensteen & Turon, 2016).

To test LULU curation (Frøslev et al., 2017), refined 18S and COI ASVs/OTUs were curated with LULU v.0.1 following the online tutorial (https://github.com/tobiasgf/lulu). The LULU algorithm detects erroneous clusters by comparing their sequence similarities and co-occurrence rate with more abundant ("parent") clusters. LULU was tested with a minimum relative co-occurrence of 0.90 and a minimum similarity (*minimum match*) threshold of 84% and 90%.

The vast majority of prokaryotes usually show low levels (< 1% divergence) of intra genomic variability for the 16S SSU rRNA gene (Acinas, Marcelino, Klepac-Ceraj, & Polz, 2004; Pei et al., 2010). Although we acknowledge that for a limited amount of cases, curation with LULU may still be useful to obtain a more rigorous census of biodiversity, this was not tested on the prokaryote communities used in this study. Indeed, parallelization not being currently available for

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LULU curation, the richness of those communities implied an unrealistic amount of calculation time, even on a powerful cluster (several weeks).

1.4 Statistical analyses

Sequence tables were analysed using R with the packages phyloseq v1.22.3 (McMurdie & Holmes, 2013) following guidelines on online tutorials (http://joey711.github.io/phyloseq/tutorials-index.html), and vegan v2.5.2 (Oksanen et al., 2018). Each biodiversity inventory and its LULU curated version were merged into a single phyloseq object. The datasets were normalized by rarefaction to their common minimum sequencing depth, before analysis of the mock communities and the natural samples.

To evaluate the functionality of the pipeline with the mock communities, taxonomically assigned metazoan clusters were considered as derived from one of the ten species used for the mock communities when the assignment delivered the corresponding species, genus, family, or class. Clusters not fitting the expected taxa were labelled as 'Others'. These non-target clusters may be spurious or reflect contamination by external DNA or associated microfauna, such as commensals or parasites, which might have been present in the extracted tissue.

Alpha diversity detected using each pipeline in the natural samples was evaluated with the number of observed target-taxa in the rarefied datasets via analyses of deviance (ANODEV) on generalized linear models based on quasipoisson distribution models. Homogeneity of multivariate dispersions were verified with the *betapart* package v.1.5.1 (Baselga & Orme, 2012). The effect of LULU curation, site and sediment core (nested within site) on community composition was tested by means of PERMANOVA on the rarefied incidence datasets. PERMANOVAs were calculated using the function *adonis* (vegan), with Jaccard dissimilarities, and 9999 permutations, permuting within sites for evaluating the Pipeline and Core effects. Finally, taxonomic compositions in terms

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of cluster abundance were compared between pipelines and with results of a morphological inventory obtained from a first-level sorting in two sites.

2 RESULTS

2.1 High throughput DNA sequencing

A number of 45,828,979 18S reads, 34,639,914 COI reads and 16,406,877 16S reads were obtained from six Illumina HiSeq runs of pooled amplicon libraries built from 42 sediment samples, 2 mock communities (for 18S and COI), 6 extraction blanks, and 4-10 PCR negative controls (Table 1). Two sediment samples failed amplification for the COI marker gene (PCT_FA_CT2_0_1 and CHR_CT1_0_1). For metazoans, less reads were retained after bioinformatic processing in negative controls (36% kept for 18S, 47% for COI) than in true or mock samples (~60% kept for 18S, 70-80% for COI), while the opposite was observed for 16S (74% of reads retained in control samples against 53% in true samples). In total, 25,773,684 18S reads, 24,244,902 COI reads, and 9,446,242 16S reads remained after processing with DADA2. Negative control samples (extraction and PCR blanks) contained 2,186,230 (~8%) 18S reads, 1,015,700 (~4%) COI reads, and 2,618,729 (28%) 16S reads. These reads were mostly originating from the extraction controls (59% for 18S, 65% for COI, and 72% for 16S). The corresponding clusters were removed from real samples if the number of reads in true samples was lower than in the negative controls.

After data refining and abundance renormalization, rarefaction curves showed a plateau was achieved for all samples in both clustered and non-clustered datasets, suggesting an overall sequencing depth adequate to capture the diversity present (Fig. S1).

Table 1. Number of reads, ASVs, and OTUs obtained in samples after each pipeline step. Data refining was performed in R, based on BLAST assignments. Forward slashes separate ASV/OTU datasets (Dada2 without swarm clustering / Dada2 with swarm clustering).

Sample type	Number of samples	Raw reads	Quality-filtered reads	Merged reads	Reads before chimera removal	Non chimeric reads	% reads retained	Number of ASVs/OTUs before refining	Number of samples after refining	Number of target reads after refining	Number of target reads after renormalisation	Final number of target ASVs/OTUs	Number of target OTUs after LULU 84%	Number of target OTUs after LULU 90%
LOCUS														
18S-V1														
Control Sample	14	6 141 567	2 508 908	2 441 821	2 200 132	2 186 230	35,6	57,661 / 31,509	0	10,234,660 / 10,686,911	10,160,603 / 10,541,499	11,304 / 5,877	2,132 / 1,535	3, 639 / 2,889
Mock Sample	2	2 096 631	1 607 219	1 436 773	1 430 823	1 289 608	61,5		2					
True Sample	42	37 590 781	26 828 194	24 826 430	22 636 689	22 297 846	59,3		42					
COI														
Control Sample	16	2 146 476	1 053 997	1 024 547	1 015 821	1 015 700	47,3	78,785 / 52,216	0	7,601,973 / 5,179,905	7,552,406 / 5,129,293	21,663 / 8,249	11,987 / 4,849	17,265 / 7,251
Mock Sample	2	1 482 785	1 261 045	1 252 908	1 251 994	1 224 795	82,6		2					
True Sample	40	31 010 653	26 011 238	25 287 002	22 197 457	22 004 407	71,0		40					
16S - V4V5														
Control Sample	10	3,531,226	2,889,163	2,634,536	2,619,479	2,618,729	74.2	56,577 / 41,746	0	6,809,966 / 6,801,953	6,719,153 / 6,680,238	55,129 / 40,459	-	-
True Sample	42	12,875,651	9,307,729	7,122,154	7,114,195	6,827,513	53		42					

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The 18S ASV dataset comprised 10,160,603 marine metazoan reads, with an average of 230,923 per sample (range of 42,119-721,972). When clustered with swarm v2, the final 18S dataset comprised 10,541,499 target reads, with an average of 239,579 per sample (range 45,259-721,753). The final COI ASV dataset comprised 7,552,406 marine metazoan reads, with an average of 179,819 per sample, (range of 54,585-438,324). When clustered with swarm v2, the final COI dataset comprised 5,129,293 target reads, with an average of 122,126 per sample (range of 31,228-349,805). The 16S ASV dataset comprised 6,719,153 prokaryotic reads, with an average of 159,979 per sample (range of 71,834 – 251,054). When clustered with swarm v2, the final 16S dataset comprised 6,680,238 prokaryotic reads, with an average of 159,253 per sample (range 71,601 - 250,032).

From the total 57,661 ASVs detected for 18S, 47,084 (82%) were assigned by BLAST to phylum level or lower. The assigned ASVs accounted for 97% of total 18S reads. BLAST detected 11,304 marine metazoan ASVs (Table 1). Samples contained 389 target ASVs on average, with a range of 88-881 per sample. LULU curation of 18S ASVs at 84% *minimum match* resulted in 2,132 clusters (134 per sample on average, range of 11-273), while 3,639 clusters remained after LULU curation at 90% *minimum match* (186 per sample on average, range of 14-402) (Table 1). From the total 31,509 18S OTUs obtained after clustering with swarm v2 (Mahe et al., 2015) at *d=4* (~1% divergence), 22,427 (71%) were assigned to phylum level or lower The assigned OTUs accounted for 93% of 18S reads. This resulted in 5,877 marine metazoan OTUs after data refining (286 metazoan clusters per sample on average, range of 29-698). The number of metazoan OTUs was reduced to 1,535 and 2,889 after LULU curation at 84% and 90% *minimum match* respectively (136 and 196 metazoan clusters per sample on average, range of 10-268 and 12-404 respectively).

The number of raw ASVs yielded by COI was higher: 78,785 from which 46,301 (59%) were assigned to phylum level or lower. The assigned ASVs accounted for 65% of total COI reads.

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After data refining, BLAST identified 21,663 marine metazoan ASVs in the COI dataset (Table 1). Samples contained 914 ASVs on average, with a range of 56-1,955 per sample. LULU curation of COI ASVs at 84% *minimum match* resulted in 11,987 clusters (599 per sample on average, range of 22-1,210), while 17,265 clusters remained after LULU curation at 90% *minimum match* (787 per sample on average, range of 23-1,697). From the 52,216 COI OTUs obtained after clustering ASVs with swarm v2 at *d=6* (~2% divergence), 21,924 (42%) were assigned to phylum level or lower. The assigned OTUs represented 52% of COI reads. After data refining, 8,249 marine metazoan COI OTUs remained in the dataset (470 per sample on average, range of 28-1,069). This number was reduced to 4,849 and 7,251 after LULU curation at 84% and 90% *minimum match* respectively (333 and 434 clusters per sample on average, range of 17-671 and 17-990 respectively).

From the total 56,577 ASVs detected for 16S, 55,804 (98.6%) were assigned by BLAST at phylum level or lower. The assigned ASVs accounted for 99.9% of total 16S reads, resulting in 55,129 final ASVs (Table 1). From the total 41,746 16S OTUs obtained after clustering with swarm v2 (Mahe et al., 2015) at *d=1*, 40,768 (97.7%) were assigned to phylum level or lower, resulting in 40,459 final OTUs.

Refining the ASV datasets based on RDP taxonomy resulted in decreased metazoan detection levels, but this was not the case for prokaryotes (Table S 5). For 18S, only 45% of ASVs could be assigned to phylum-level or lower, resulting in 8,365 marine metazoan ASVs. For COI, although RDP assigned 76% of ASVS, only 2,526 target ASVs could be retrieved. We therefore reduced our COI database to only marine sequences. This resulted in 11% of assigned ASVs, but increased the number of target clusters to 8,466 (Table S 6).

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2.2 Performance on mock samples

Assigning ASVs with BLAST allowed recovering 7 out of 10 mock species in the 18S dataset and all species in the COI dataset (Table 2), even with minimum relative DNA abundance levels as low as 0.7% (Mock 5).

When ASVs were clustered with swarm v2, this generally led to a slight loss of taxonomic resolution (*Chorocaris* sp. was not detected in Mock 3 for 18S and the two bivalves *P. kilmeri* and *C. regab* were taxonomically misidentified for COI). Taxonomically unresolved species were correctly assigned up to their common family or class level. Dominant species generally produced more reads in both the clustered and non-clustered datasets (Table S 7).

Clustering sequences with swarm v2 reduced the number of clusters produced per species, but some species still produced multiple (up to 10) OTUs (*A. arbuscula*, *Munidopsis* sp., and *E. norvegica* for 18S; *A. muricola*, *D. dianthus*, *Chorocaris* sp., and *Paralepetopsis* sp. for COI). Curating with LULU allowed reducing the number of clusters produced per species to nearly one, with and without clustering, and this for both loci. Moreover, LULU curation decreased the number of spurious clusters ("Others"), but this effect was more marked for 18S and at 84% *minimum match* (Table 2). However, curating with LULU the 18S data (ASVs or OTUs) led to the loss of one shrimp species (*Chorocaris* sp) when the *minimum match* parameter was at 90% and an additional species (the limpet *Paralepetopsis* sp.) when this parameter was at 84%. LULU consistently merged the shrimp species *Chorocaris* sp with another shrimp species as the latter were always co-occurring in our mock samples.

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Table 2. Number of ASVs/OTUs detected per species in the mock communities using different bioinformatic pipelines. White cells indicate an exact match with the number of OTUs expected, grey cells indicate a number of OTUs differing by ±3 from the number expected, and dark grey cells indicate a number of OTUs >3 from the one expected.

18S	**DADA2**	**DADA2 +LULU 84%**	**DADA2+ LULU 90%**		**DADA2 +swarm**	**DADA2+swarm +LULU 84%**	**DADA2+swarm +LULU 90%**
Mock 3							
Alcyonacea;*A.arbuscula*	64	1	1	Alcyonacea;*A.arbuscula*	9	1	1
Caryophylliidae;*D.dianthus*	2	1	1	Caryophylliidae;*D.dianthus*	1	1	1
Alvinocaris muricola	2	1	1	*Alvinocaris muricola*	1	1	1
Chorocaris sp.	1	0	0	*Chorocaris* sp.	0	0	0
Munidopsis sp.	6	1	1	*Munidopsis* sp.	3	1	1
Gastropoda;*Paralepetopsis* sp.	1	0	1	Gastropoda;*Paralepetopsis* sp.	1	0	1
Vesicomyidae;*P. kilmeri/C. regab/V. gigas*	8	1	1	Bivalvia;*P. kilmeri/C. regab/V. gigas*	3	1	1
Polychaeta;*E.norvegica*	8	2	3	Polychaeta;*E.norvegica*	4	2	2
Others	3	2	3	Others	4	2	2
Mock 5							
Alcyonacea;*A.arbuscula*	54	1	1	Alcyonacea;*A.arbuscula*	9	1	1
Caryophylliidae;*D.dianthus*	1	1	1	Caryophylliidae;*D.dianthus*	1	1	1
Alvinocaris muricola	1	1	1	*Alvinocaris muricola*	1	1	1
Chorocaris sp.	1	0	0	*Chorocaris* sp.	1	0	0
Munidopsis sp.	4	1	1	*Munidopsis* sp.	3	1	1
Gastropoda;*Paralepetopsis* sp.	1	0	1	Gastropoda;*Paralepetopsis* sp.	1	0	1
Vesicomyidae;*P. kilmeri/C. regab/V. gigas*	5	1	1	Bivalvia;*P. kilmeri/C. regab/V. gigas*	4	1	2
Polychaeta;*E.norvegica*	11	2	3	Polychaeta;*E.norvegica*	4	2	2
Others	4	2	3	Others	4	2	2
COI	**DADA2**	**DADA2 +LULU 84%**	**DADA2+ LULU 90%**		**DADA2 +swarm**	**DADA2+swarm +LULU 84%**	**DADA2+swarm +LULU 90%**
Mock 3							
Acanella arbuscula	1	1	1	*Acanella arbuscula*	1	1	1
Hexacorallia;*D.dianthus*	3	3	3	Hexacorallia;*D.dianthus*	4	3	3
Alvinocaris;A. muricola	26	2	2	Alvinocaris;*A. muricola*	10	1	1
Chorocaris sp.	2	1	1	*Chorocaris* sp.	3	1	1
Galatheidae;*Munidopsis* sp.	2	2	1	*Munidopsis* sp.	1	1	2
Gastropoda;*Paralepetopsis* sp.	8	3	3	Gastropoda;*Paralepetopsi* s sp.	3	2	2
Phreagena kilmeri	2	1	1	Bivalvia;*P. kilmeri*	3	2	2
Bivalvia;*C. regab*	2	1	1	Bivalvia;*C. regab*			
Vesicomya gigas	1	1	1	*Vesicomya gigas*	1	1	1
Polychaeta;*E.norvegica*	3	3	1	*Eunice norvegica*	1	1	1
Others	7	5	6	Others	3	4	5
Mock 5							
Acanella arbuscula	1	1	1	*Acanella arbuscula*	1	1	1
Hexacorallia;*D.dianthus*	3	3	3	Hexacorallia;*D.dianthus*	3	3	3
Alvinocaris;A. muricola	26	2	2	Alvinocaris;*A. muricola*	9	1	1
Chorocaris sp.	1	1	1	*Chorocaris* sp.	2	1	1
Galatheidae;*Munidopsis* sp.	2	1	1	*Munidopsis* sp.	1	1	1
Gastropoda;*Paralepetopsis* sp.	7	2	2	Gastropoda;*Paralepetopsis* sp.	3	2	3
Phreagena kilmeri	1	1	1	Bivalvia;*P. kilmeri*	2	2	2
Bivalvia;*C. regab*	2	1	1	Bivalvia;*C. regab*			
Vesicomya gigas	1	1	1	*Vesicomya gigas*	1	1	1
Polychaeta;*E.norvegica*	2	2	3	*Eunice norvegica*	1	1	1
Others	5	6	5	Others	3	2	2

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Assigning ASVs with the RDP Bayesian Classifier allowed recovering 4 out of 10 mock species in the 18S dataset (Fig S-2) and no species in the COI dataset using the full MIDORI database. The six incorrectly resolved species in the 18S dataset could only be resolved taxonomically up to their common class level (venerid bivalves and malacostracan crustaceans). For the COI dataset, using the full MIDORI database resulted in RDP assignments that never matched the expected taxon and were mostly assigned to arthropods (data not shown). When the database was reduced to marine-only taxa, all 10 species were detected (Fig S 2), although the dataset contained a considerable amount of spurious assignments (29 clusters assigned up to Arthropoda and Chordata). The latter were however always associated to a phylum bootstrap level < 98. As the taxonomic resolution using RDP was poorer in the mock communities using 18S, the remaining work was performed using BLAST assignments.

2.3 Alpha-diversity patterns between pipelines

Eukaryotes

The number of metazoan clusters detected in the deep-sea sediment samples varied significantly between bioinformatic pipelines chosen (ANODEV: 18S, $F(5,175)=599.91$, $p<0.001$ and COI, $F(5,195)=1,320.32$, $p<0.001$, 16S, $F(51,41)=2008.76$, $p<0.001$, see Table S 8). Expectedly, clustering and LULU curation significantly reduced the number of detected clusters per sample for all loci. The reduction due to clustering was much more pronounced for metazoans, particularly for COI, than for 16S data (Fig. 1). DADA2 detected on average 389 (SE=28) and 863 (SE=61) metazoan 18S and COI ASVs per sample respectively, while clustering ASVs (at $d=4$ for 18S, $d=6$ for COI, and $d=1$ for 16S) reduced the number of metazoan OTUs detected to 289 (SE=21) for 18S and 467 (SE=34) for COI. For prokaryotes, the number of ASVs was on average 3,567 (SE=480) per sample, clustering decreased this mean to 3,138 (SE=413) OTUs per sample.

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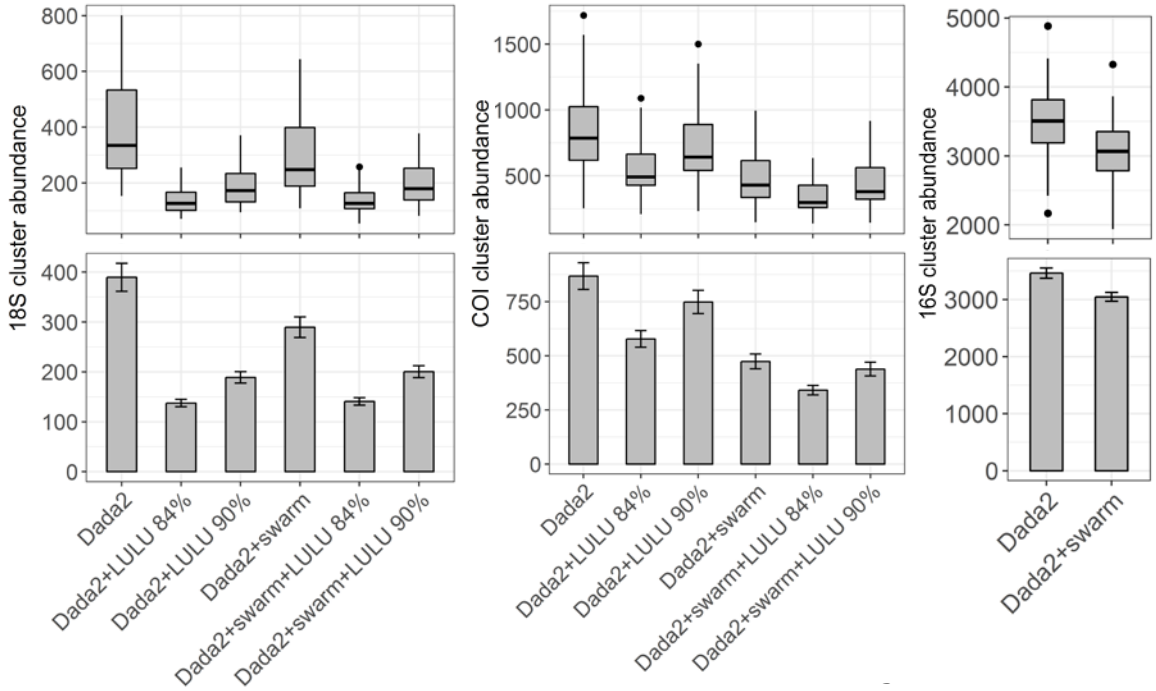

Figure 1. Number of clusters detected in sediment of 14 deep-sea sites with the Dada2 metabarcoding pipeline with or without LULU curation at 84% and 90% *minimum match* and swarm v2 clustering, using the 18S (left) and COI (centre) and 16S (right) marker genes. Cluster abundance was obtained after rarefaction to minimal sequencing depth. Boxplots represent medians with first and third quartiles. Barplots show means and standard errors.

LULU significantly decreased the number of metazoan clusters detected in both the ASV and OTU datasets. The effect was stronger at a lower *minimum match* parameter. It was also more pronounced in the ASV datasets and for the 18S locus (Fig. 1). At 90% minimum match, LULU decreased by 51% the number of 18S and by 14% the number of COI ASVs, while this decrease was only of 31% for 18S OTUs and 7% for COI OTUs. When the *minimum match* parameter was at 84%, LULU decreased the number of detected metazoan clusters by 65% for 18S ASVs and 33% for COI ASVs, while in the clustered dataset this decrease was of 51% and 28% for 18S and COI OTUs respectively. LULU curation of ASVs or OTUs produced comparable number of clusters in the 18S dataset. At 84% *minimum match*, LULU curation produced on average 137 ± 7 and 140 ± 8 clusters per sample after application on ASVs and OTUs respectively. At 90%, these

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numbers were at 189 ± 11 and 200 ± 12 (Fig. 1). This was not the case for COI, where LULU curation of ASVs resulted in significantly more clusters (574 ± 38 at 84% and 742 ± 53 at 90%) than LULU curation of OTUs (334 ± 21 and 433 ± 31).

The number of clusters detected also varied significantly among sites (ANODEV: 18S, $F(11,175)=283.57$, $p<0.001$; COI, $F(13,195)=761.19$, $p<0.001$; 16S, $F(13,41)=507.37$, $p<0.01$), and cores nested within sites (ANODEV: 18S, $F(24,175)=32.21$, $p<0.001$; COI, $F(26,195)=72.91$, $p<0.001$; 16S, $F(28,41)=241.73$, $p<0.01$). However, while the mean number of clusters detected per sample spanned a wide range in all loci (100-800 for 18S, 150-1,500 for COI datasets, and 2,000-5,000 for 16S), the pipeline effect was consistent across sites (Fig. S 3).

2.4 Taxonomic assignments and patterns of beta-diversity between pipelines

Sequence identity varied strongly depending on phyla and marker gene (Fig. 2). For 18S, most clusters had hit identities ≥ 90%. Poorly assigned clusters (hit identity < 90%) represented less than 20% of the dataset and were mostly assigned to Nematoda, Cnidaria, Tardigrada, Porifera, and Xenacoelomorpha. For COI, nearly all clusters had similarities to sequences in databases lower than 90%. Overall, arthropods and echinoderms were detected at similar levels by both markers. The 18S barcode marker performed better in the detection of nematodes, annelids, platyhelminths, and xenacoelomorphs while COI mostly detected cnidarians, molluscs, and poriferans (Fig. 2), highlighting the complementarity of these two loci. Sequence identity was much higher for prokaryotes, with most clusters assigned above 90%.

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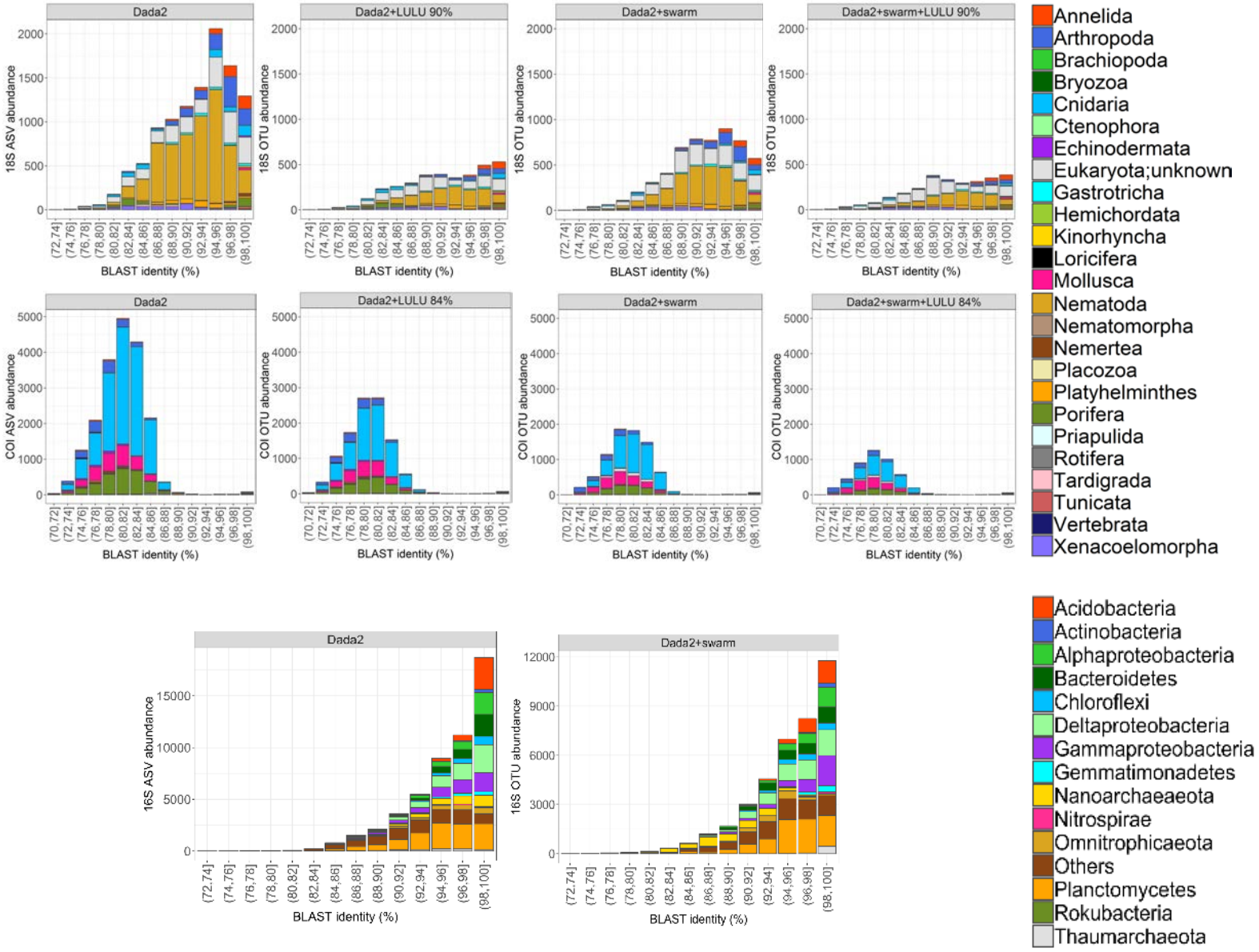

Figure 2. Taxonomic resolution in in metabarcoding datasets of 14 deep-sea sediment sites with four bioinformatic pipelines. Metazoan taxonomic assignment quality based on the 18S (top), COI (centre) and 16S (bottom) marker genes. BLAST hit identity of all metazoan clusters detected is given for four bioinformatic pipelines: DADA2, DADA2 curated with LULU at 84/90% *minimum match*, DADA2 clustered with swarm v2, and DADA2 clustered with swarm v2 and curated with LULU at 84/90% *minimum match*. BLAST hit identity for prokaryotes is given for two pipelines: DADA2 and DADA2 with swarm v2.

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For metazoan loci, while clustering significantly decreased the number of OTUS detected, it increased the amount of clusters not assigned up to the phylum level in both loci (~10-20% increase, Fig. 2). In the 18S dataset, clustering led to the decrease in abundance of dominant taxa such as nematodes and non-dominant taxa such as cnidarians and poriferans (Fig. 2, Fig. 3). Similarly, for COI, clustering led to a decreased abundance of dominant taxa such as poriferans and cnidarians, while the number of clusters assigned to arthropods and molluscs increased (Fig. 2, Fig. 3). Changes were less marked for 16S data (Fig. 2), yet the number of some taxa clearly increased (i.e. Thaumarchaeota and Gammaproteonbacteria) whereas others decreased (i.e. Omnitrophicaeota).

For COI and 18S datasets, PERMANOVAs were performed to evaluate the effect of LULU curation at two *minimum match* thresholds. Multivariate analyses on clustered and non-clustered datasets showed significant differences in community structure between bioinformatic pipeline (i.e. with or without LULU), sites, and cores nested within sites (Table 3). LULU had a significant effect on taxonomic structure resolved, even though the percentage variation it explained was only around 1.3% for 18S and 0.5% for COI (R^2 values in Table 3), compared to 40-50% variation explained by sites, reflecting the predominant effect of biological signatures over bioinformatic processing in the resolution of community structure. Comparing the taxonomic composition resolved by all pipelines showed that LULU curation of ASVs or OTUS resulted in detected community compositions similar to non-curated datasets, although it increased the relative abundance of non-dominant taxa by decreasing the abundance of dominant phyla such as nematodes in 18S and cnidarians in COI (Fig. 3).

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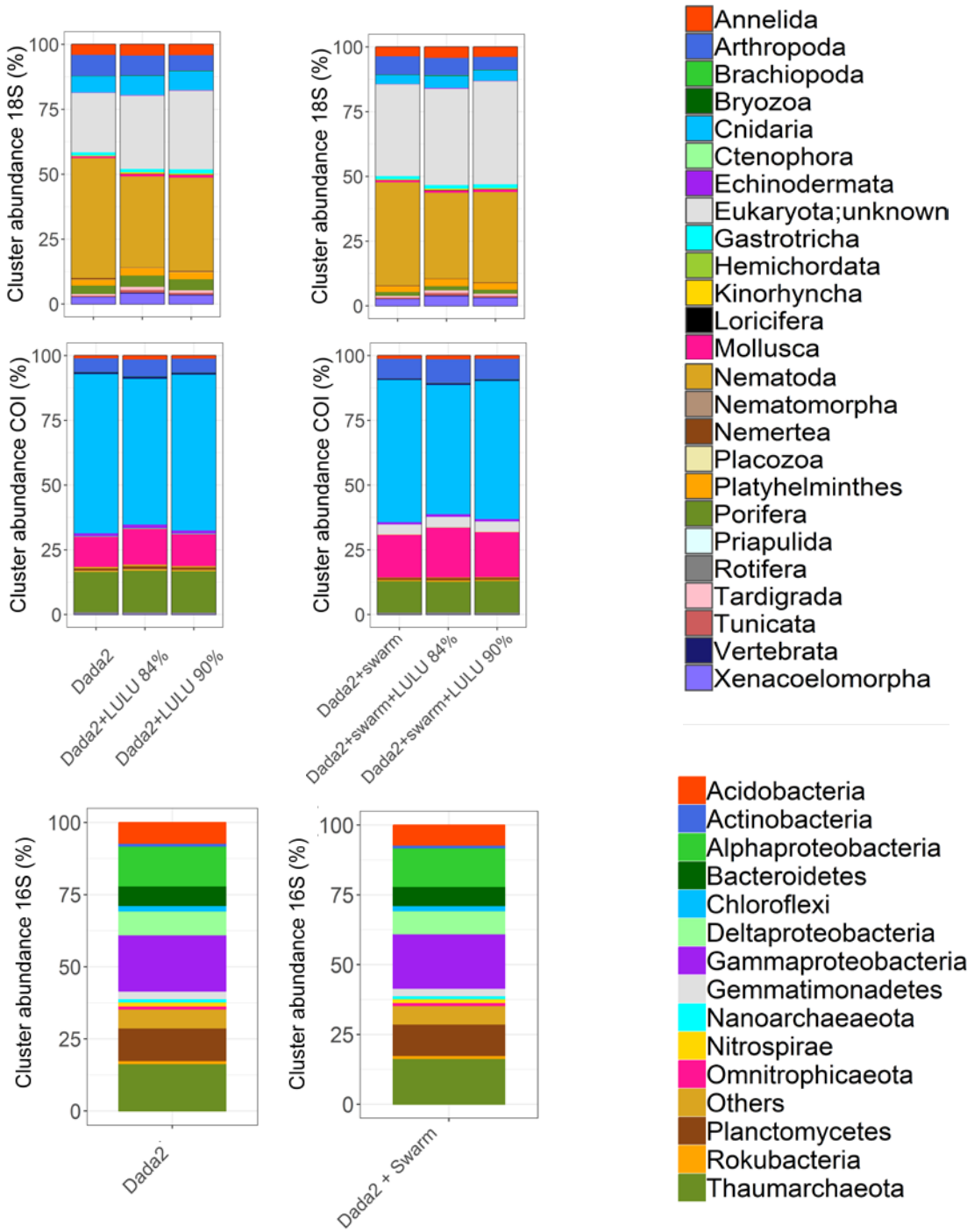

Figure 3. Patterns of relative cluster abundance resolved by different bioinformatic pipelines (ASV-centred on the left, OTU-centred on the right) in 14 deep-sea sites, using the 18S (top), COI (centre), and 16S (bottom) marker genes. LULU curation and clustering increase the abundance of non-dominant taxonomic groups in both metazoan loci, while this is not the case for prokaryotes.

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Overall, community differences were visualized using PCoA ordinations of Jaccard distance matrices and showed that the different pipelines resolved the same ecological patterns, in which, consistently with the PERMANOVAs, the site effect was predominant (Fig. S 4).

Table 3. Effect of LULU curation on community structure detected in 14 deep-sea sites. Results of the permutational analysis of variance (PERMANOVA) of the rarefied OTU richness in clustered (Dada2+swarm+LULU) and non-clustered (Dada2+LULU) datasets, for the two genes studied. The tests were performed by permuting 9999 times using Jaccard distances. The pipeline and core effects were evaluated by permuting within sites.

	Dada2+swarm+LULU					**Dada2+LULU**					
LOCUS	***df***	**SS**	**Pseudo-F**	**P(>F)**	**R2**		***df***	**SS**	**Pseudo-F**	**P(>F)**	**R2**
18S-V1						**18S-V1**					
Pipeline	2	0.755	5.62	0.001	0.014	Pipeline	2	0.695	2.97	0.0001	0.012
Site	13	24.238	27.79	0.001	0.455	Site	13	23.658	15.57	0.0001	0.410
Site:Core	28	22.734	12.10	0.001	0.427	Site:Core	28	23.74	7.25	0.0001	0.412
Residuals	82	5.505			0.103	Residuals	82	9.584			0.166
Total	125	53.228			1.000	Total	125	57.677			1.000
COI						**COI**					
Pipeline	2	0.262	4.75	0.0001	0.005	Pipeline	2	0.244	2.68	0.0001	0.004
Site	13	29.555	82.47	0.0001	0.557	Site	13	27.525	46.61	0.0001	0.498
Site:Core	26	21.069	29.40	0.0001	0.397	Site:Core	26	24.984	20.31	0.0001	0.434
Residuals	78	2.15			0.041	Residuals	78	3.543			0.064
Total	119	53.036			1.000	Total	119	55.296			1.000

3 DISCUSSION

3.1 ASVs or OTUs for metazoans?

The rise of HTS and the subsequent use of metabarcoding have revolutionized microbiology by unlocking the access to uncultivable microorganisms, which represent by far the great majority of prokaryotes (Klappenbach, Saxman, R., & Schmidt, 2001). The development and improvement of molecular and bioinformatic methods to perform inventories were historically primarily developed for 16S rRNA barcode loci, before being transferred to the eukaryotic

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kingdom based on the use of barcode markers such as 18S rRNA, ITS, or mitochondrial markers such as COI (Bellemain et al., 2010; Valentini et al., 2009). Thus, most bioinformatics pipelines were initially developed accounting for intrinsic properties of prokaryotes and concepts inherent to microbiology (Boyer et al., 2016; Caporaso et al., 2010; Schloss et al., 2009), before being transferred to eukaryotes in general or metazoans in particular. Such transfers are not always straightforward, and require adaptations accounting for differences in both concepts and basic biological features. One example is the question of the relevance of the use of amplicon sequence variants (ASVs), advocated to replace OTUs "… *as the standard unit of marker-gene analysis and reporting*" (Callahan et al., 2017): an advice for microbiologists that may not apply when working on metazoans.

The results on the mock samples showed that ASV-centred datasets produced using DADA2-alone are likely to be unsuited for metazoan metabarcoding using the 18S and COI barcode markers, as single individuals produced very different numbers of ASVs, therefore not reflecting actual species composition. Clustering ASVs into OTUs using swarm v2 still led to inflated diversity estimates, as despite a unique specimen of each species was used in the mock communities, some still produced up to ten OTUs for both loci (Table 2). This result suggests that even in quality-filtered and clustered datasets, diversity of some taxa will still be overestimated unless high clustering thresholds are used, which may in turn lead to the loss of diversity through the merging of distinct taxa. Intra-individual variation is a recognised problem in metabarcoding, known to generate spurious clusters (Brown et al., 2015), especially in the COI barcode marker. Indeed, this gene region has increased intra-individual variation due to heteroplasmy and the abundance of pseudogenes, such as NUMTs, playing an important part of the supernumerary OTU richness in COI-metabarcoding (Bensasson, Zhang, Hartl, & Hewitt, 2001; Song, Buhay, Whiting, & Crandall, 2008). Together with clustering, LULU curation proved effective in limiting the

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number of multiple clusters produced by single individuals, confirming its efficiency to correct for intra-individual diversity (Table 2).

3.2 Adapting pipelines to marker properties

As seen above for COI, when considering a single marker, the biology of the organisms together with the properties of the gene itself determine its level of intra-individual and intraspecific diversity. Concerted evolution is a common feature of SSU rRNA markers such as 16S (Hashimoto, Stevenson, & Schmidt, 2003; Klappenbach et al., 2001) and 18S (Carranza, Giribet, Ribera, Baguñà, & Riutort, 1996) that limits the amount of intra individual polymorphism. Despite a number of 16S rRNA variants estimated to be 2.5-fold greater than the number of bacterial species (Acinas et al., 2004), the reproductive mode and pace of selection in microbial populations is likely to lead to locally lower level of intraspecific variation than the one expected for 18S and COI in metazoans for example. In addition, in metazoans, a lower level of diversity is expected for the slower evolving 18S (Carranza et al., 1996), than for COI. This may explain the lower ASV (DADA2) to OTU (DADA2+swarm) ratios observed here for 16S (~1.4) compared to 18S (~1.9) and COI (~2.6) data, underlining the different influence –and importance- of clustering on these loci, and the need for a versatile marker by marker choice for clustering parameters.

The COI locus allowed the detection of all ten species present in the mock samples, compared to seven in the 18S dataset (Table 2). This locus also provided much more accurate assignments, most of them correct at the genus (and species) level, confirming that COI uncovers more metazoan species and offers a better taxonomic resolution than 18S (Clarke, Beard, Swadling, & Deagle, 2017; Tang et al., 2012). The results also confirm an important variation in the amplification success across taxa (Bhadury et al., 2006; Carugati, Corinaldesi, Dell'Anno, & Danovaro, 2015), supporting the present approach combining nuclear and mitochondrial markers

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to achieve more comprehensive biodiversity inventories (Cowart et al., 2015; Drummond et al., 2015; Zhan, Bailey, Heath, & Macisaac, 2014).

While clustering and LULU curation improved COI results in the mock communities (where species always co-occurred), they were associated with a decrease in taxonomic resolution for 18S data, as some closely related species were merged, i.e. the vesicomyid bivalves, the gastropod, and the shrimp species (Table 2). When studying natural habitats, very likely to harbour closely related co-occurring species, both LULU curation and clustering are likely to lead to the loss of true species diversity for low-resolution markers such as 18S. Optimal results in the mock samples, i.e. delivering the best balance between the limitation of spurious clusters and the loss of true diversity, were obtained with LULU curation at 90% for 18S and 84% for COI, highlighting the importance of adjusting bioinformatic correction tools to each barcode marker, a step for which mock communities are most adequate.

3.3 Application to real communities

The mock communities we used here did not contain several haplotypes of the same species (intraspecific variation), as is most often the case in environmental samples. This prevents us from generalizing the comparable results of LULU obtained with or without clustering to more complex communities. As distinct haplotypes do not always co-occur in nature, LULU curation of ASVs alone is not suited to correct for haplotype diversity, and clustering ASVs may therefore still be necessary to produce datasets that reflect species rather than gene diversity. As expected, results on natural samples showed distinct answers to this question for 18S and COI. When applying LULU to ASVs (DADA2) *versus* OTUs (DADA2+swarm) on 18S, similar numbers of detected clusters were obtained (e.g. average of 137 ± 7 and 140 ± 8 clusters per sample after application at 84% on ASVs and OTUs respectively), again suggesting a limited added effect of clustering for

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this marker once DADA2 and LULU are applied (Fig. 1). This is in line with its slow evolutionary rate (Carranza et al., 1996) leading to a limited number of haplotypes per species compared to COI. In contrast, after LULU curation of the COI ASV dataset, nearly twice the number of clusters were obtained (574 ± 38 at 84% and 742 ± 53 at 90%) compared to the LULU-curated OTU dataset (334 ± 21 for 84% and 433 ± 31 for 90%). This confirms the need for clustering on COI and the fact that LULU curation of ASVs is not sufficient to account for intraspecific diversity in natural samples for such a highly polymorphic marker.

Finally, prokaryotic alpha diversity was less affected by the clustering of ASVs (Table 1, Fig. 1), illustrating their lower intra-genomic variability (Pei et al., 2010) and the possibly lower diversity within ecotypes. Nevertheless, the differences suggest the occurrence of very closely related sequences of 16S rRNA, possibly belonging to the same ecotype/species. Such entities may still be important to delineate in studies aiming for example at identifying species associations (i.e. symbiotic relationships) across large distances and ecosystems, where drift or selection can lead to slightly different ASVs in space and time, with their function and association remaining stable.

3.4 Influence on beta diversity

After focusing on alpha diversity estimates and the accuracy of inventories, the analysis of taxonomic structure showed that the non-clustered, clustered, and LULU-curated datasets resolved similar ecological patterns (Fig. S 4) and community compositions (Fig. 3), although differences in abundance were observed (Fig. 2). This is in accordance with other studies reporting severe impacts of bioinformatic parameters on alpha diversity while comparable patterns of beta diversity were observed, at least down to a minimum level of clustering stringency (Bokulich et al., 2013; Xiong & Zhan, 2018).

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Clustering and LULU curation mainly led to the decrease of the number of clusters assigned to dominant taxa in both loci, i.e. nematodes for 18S, cnidarians and to lesser extent molluscs for COI. This is likely attributable to the low resolutive power of 18S, already acknowledged in general and for nematodes in particular (Derycke, Vanaverbeke, Rigaux, Backeljau, & Moens, 2010). Similarly the lack of resolution of COI for cnidarians has long been known (Hebert, Ratnasingham, & de Waard, 2003). Clustering also introduced more OTUs that could not be assigned at the phylum level with BLAST (Fig. 3), confirming the limitations of assigning taxonomy at the OTU level, as the representative sequence chosen for taxonomic assignment can lead to taxonomic ambiguity.

3.5 Assignment comparison

Finally, compared to BLAST assignment, lower taxonomic resolution was observed using the RDP Bayesian Classifier on the mock samples for 18S (Fig. S 2) and for COI when using the full MIDORI database. With this database, only five phyla were detected in the whole dataset: Arthropoda, Chordata, Mollusca, Nemertea, Porifera (data not shown). This is likely due to the size of the RDP training sets available for this study, and to the low coverage of deep-sea species in public databases. Small databases, taxonomically similar to the targeted communities, and with sequences of the same length as the amplified fragment of interest, are known to maximise accurate identification (Macheriotou et al., 2019). This limitation of databases, rather than the method itself, was confirmed by results using a reduced marine-only COI database. The latter (containing the barcodes of the mock species) resulted in accurate RDP assignments in the mock samples when the phylum bootstrap level was ≥ 98 (Fig. S 2), although the majority of clusters remained unassigned in the full dataset (89% compared to 45% with BLAST). The development of custom-built marine RDP training sets, without overrepresentation of terrestrial species, is therefore needed for this Bayesian assignment method to be effective on deep-sea datasets. With reduced trainings

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sets, removing clusters with phylum bootstrap-level < 98 could be an efficient way to increase taxonomic quality of deep-sea metabarcoding datasets. At present, BLAST seems however the most efficient assignment method for deep-sea metabarcoding data, even though it has to be kept in mind that hit identities tend to be low, especially for COI, making it hard to work at taxonomic levels beyond phylum or class (Fig. 2).

CONCLUSIONS AND PERSPECTIVES

~~In this work based on~~ mock communities and natural samples, we propose a new pipeline ~~using~~ several recent algorithms allowing to improve the quality of biodiversity inventories based on metabarcoding data. ~~R~~esults showed that ASV data should be produced and communicated for reusability and reproducibility following the recommendations of Callahan et al. (2017). This is especially useful in large projects spanning wide geographic zones and time scales, as different ASV datasets can be easily merged *a posteriori*, and clustered if necessary afterwards. Nevertheless, clustering ASVs into OTUs will be required to obtain accurate inventories, at least for metazoan communities. Considering 16S polymorphism observed in prokaryotic species (Acinas et al., 2004) and the possible geographic segregation of their populations, clustering may also be required in prokaryotic datasets, for example in studies screening for species associations (i.e. symbiotic or parasitic relationships, considering that symbionts may be prone to differential fixation through enhanced drift; Shapiro, Leducq, & Mallet, 2016).

~~R~~esults also demonstrated that LULU curation is a good alternative to arbitrary relative abundance filters in metabarcoding pipelines. They also underline the need to adapt parameters for curation (e.g. LULU curation at 90% for 18S and 84% for COI) and clustering to each gene used and taxonomic compartment targeted, in order to identify an optimal balance between the correction for spurious clusters and the merging of closely related species.

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Finally, ~~the results also~~ show that accurate taxonomic assignments of deep-sea species can be obtained with the RDP Bayesian Classifier, but only with reduced databases containing ecosystem-specific sequences.

The pipeline is publicly available on Gitlab (https://gitlab.ifremer.fr/abyss-project/), and allows the use of sequence data obtained from libraries produced by double PCR or adaptor ligation methods, as well as having built-in options for using six commonly used metabarcoding primers.

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DATA ACCESSIBILITY

The data for this work can be accessed in the European Nucleotide Archive (ENA) database (Study accession number will be given upon manuscript acceptance). The data set, including sequences, databases, as well as raw and refined ASV/OTU tables, has been deposited on ftp://ftp.ifremer.fr/ifremer/dataref/bioinfo/merlin/abyss/BioinformaticPipelineComparisons/. Bioinformatic scripts, config files, and R scripts are available on Gitlab (https://gitlab.ifremer.fr/abyss-project/).

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AUTHOR CONTRIBUTIONS

MIB and SAH designed the study, MIB and JP carried out the laboratory and molecular work; MIB and BT performed the bioinformatic and statistical analyses. LQ assisted in the bioinformatic development and participated in the study design. MIB and SAH wrote the manuscript. All authors contributed to the final manuscript.