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4 Field assessment of precocious maturation in salmon parr using ultrasound imaging

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22 **Abstract**

23 Salmonids are characterized by a large diversity of life histories, but their study is often limited by  
24 the imperfect observation of the true state of an individual in the wild. Challenged by the need to  
25 reduce uncertainty of empirical data, recent development in medical imaging techniques offered  
26 new opportunities to assess precocious maturation in Atlantic salmon parr. Traditional phenotypic  
27 (external) examination and ultrasound (internal) examination were compared and recommendations  
28 on fish handling and ultrasound image interpretation are provided. By allowing to see the unseen,  
29 portable ultrasound imaging offers great opportunities for ecological studies in the wild, such as the  
30 assessment of individual sexual maturation.

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32 **Keywords**

33 Atlantic salmon *Salmo salar*, ecology, empirical study, fisheries, individual heterogeneity, life history  
34 trait, maturation, ultrasound scan

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36 **Introduction**

37 Long term monitoring of animal populations in the wild is of great interest for ecology and  
38 management (Clutton-Brock and Sheldon 2010). In a context of global change, such long term  
39 monitoring programs contribute to highlight major changes in population composition, dynamics  
40 and abundance over the last decades (Parmesan 2006). In the North Pacific, analyses confirmed  
41 climate-related shifts in the abundance of most salmonid species since the 1940's, associated with  
42 reported ecosystem regime shifts (Irvine and Fukuwaka 2011). In the North Atlantic, the widespread  
43 decline in salmon abundance was associated with a decrease in both survival and age at maturity  
44 (Chaput 2012). This global change makes it particularly important to be able to predict population  
45 structure and abundance in the future under different climatic scenarios. However, predictive  
46 population dynamics models are difficult to parameterize and often return high level of uncertainty,  
47 which hinder their use by managers and policy makers. Stock assessments form the basis for catch

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50 advice for salmon fisheries, but they mask regional differences and annual river-specific stock  
51 assessments are only available for some 25% of the rivers (Chaput 2012). This is partly because field  
52 records are imperfect observation of the true state of an individual (Genovart et al. 2012). For  
53 instance, maturation status and gender is rarely known with certainty in fish monitoring programs  
54 without invasive or lethal methods. Thus, field studies are challenged by the need to reduce  
55 uncertainty in individual-level data.

56

57 Atlantic salmon (*Salmo salar*) is an anadromous species that reproduces in freshwater and migrates  
58 at sea to grow and undergo sexual maturation. However, in this and other salmonid species, some  
59 young males (parrs) can mature precociously in freshwater (Fleming 1996). It is proposed that the  
60 intensity of intra-sexual competition for mates and environmental variability in growth  
61 opportunities, determines a polygenic threshold that triggers precocious maturation (Hutchings and  
62 Myers 1994). Mature male parrs attempt to 'sneak' access to large anadromous females for mating  
63 (Fleming 1996). They can contribute substantially to the reproduction (Moran et al. 1996) but, as  
64 maturation seems to be traded against survival, mature parr have a low probability to migrate at sea  
65 (Buoro et al. 2010). The occurrence of this alternative reproduction strategy is highly variable over  
66 time, space, and age classes (Baglinière and Maisse 1985; Myers et al. 1986) and may be driven by  
67 frequency dependent selection, i.e. the reproductive success of precocious parrs increases with  
68 decreasing frequency of precocious maturation in the population (Berejikian et al. 2010). Yet, precise  
69 quantification of this phenotype remains rare in empirical studies and in salmon population dynamic  
70 models. Furthermore, precocious maturation is virtually ignored by stock assessment models and in  
71 the management of Atlantic salmon fisheries (e.g. ICES 2013). This means that mature parrs are  
72 included neither in the stock nor in the recruitment figures. This might bias stock-recruitment  
73 relationships and underestimate freshwater survival as well as salmon production. For instance,  
74 using more than 280,000 records from Little Codroy River parrs, Myers (1984) estimated that 60% of  
75 the stock of adult male salmon in Newfoundland was lost because of increased mortality due to

76 precocious maturation. Caswell et al. (1984) demonstrated that the effect of reproduction by parrs  
77 on population growth rate was always greater than that of reproduction by adults. It is suspected  
78 that this lack of consideration for mature parrs is due to the complexity of the life cycle of Atlantic  
79 salmon. But it could also be due to the difficulty of quantifying the occurrence of precocious  
80 maturation in wild salmon populations.

81

82 In the field, precocious maturity in parrs is traditionally assessed by pressing the abdomen of the fish  
83 to extract milt (sperm). However, not all mature males may be producing milt at the time of capture.

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84 Moreover, based on the general aspect of the fish, experienced fieldworkers sometimes suspect  
85 maturity in some individuals that do not excrete milt upon abdominal pressure. However,  
86 researchers are often reluctant to use such expert opinions in their analysis due to the potential for  
87 incorrect diagnosis and strong operator bias.

88

89 Ultrasound imaging, which gave rise to significant advances in human medicine, offers reliable  
90 visualization of internal organs. Ultrasound imaging is routinely used in aquaculture as it provides an  
91 accurate, non-invasive, non-lethal method that is more accurate than visual methods, to determine  
92 gender or gonadal growth in fish for example (Novelo and Tiersch 2012). In adult salmon, although  
93 gonads of immature males may be difficult to discern, gonads of females are always visible and  
94 hence sexing is possible by deduction (Martin et al. 1983; Mattson 1991). However the ovaries and  
95 testes of the juvenile (immature) salmon seem difficult to identify (Martin et al. 1983).

96

97 The development of portable devices offers an opportunity to bring ultrasound machines in the  
98 field. This paper illustrates how ultrasound scanners can increase the range of biological traits that  
99 can be monitored in long-term salmonid populations surveys. In particular, the maturation status of  
100 salmon parr (i.e. freshwater resident salmon) was assessed in the field using traditional phenotypic  
101 examination and ultrasound examination. The two approaches are compared and it is tested

103 whether biological or operator-related factors affect the uncertainty in external phenotypic  
104 assessment of parr maturity. Finally, recommendation on fish handling and on the interpretation of  
105 ultrasound images is provided.

106

## 107 **Material and methods**

### 108 Salmon monitoring

109 Atlantic salmon monitoring takes place every year in September or October in the river Oir,  
110 Normandy, France (Marchand et al. 2018). Young individuals (parrs) are captured using a standard  
111 electrofishing protocol. They are then placed in a light anesthetic solution, measured, weighed,  
112 scanned, and returned to the river within 30 min of their capture. Age is assessed through scale  
113 reading. In 2015, 2016, and 2017, a total of 850 salmon was examined (366 parrs of age 0 and 484  
114 parrs of age 1) for maturity using a traditional, phenotypic (i.e. external), approach and ultrasound  
115 imaging. All parrs were caught within a period of 8 days in early October. All the animal  
116 experimentation in this study was performed according to French legislation and under licence  
117 APAFIS-201602051204637.

118

### 119 Traditional phenotypic examination

120 First, the field operator assesses the maturation state of parrs by gently pressing the side of the fish.  
121 The excretion of milt is indicative of a mature male, while the absence of milt can be encountered in  
122 a maturing male whose gonads do not yet produce milt, an immature male, or a female (females are  
123 all immature at this stage). The field operator may also report that some fish *look like* mature parrs:  
124 they display phenotypic traits similar to those of mature fish (e.g. colour pattern, body shape) but do  
125 not excrete milt. This phenotypic assessment relies less on a fixed set of quantifiable parameters  
126 than on a general, and subjective, appreciation of the fish, which is specific to each operator.

127

### 128 Ultrasound examination

129 Then, the ultrasound operator screens all salmon parrs for precocious maturation using a portable  
130 ultrasound scanner M-Turbo (Sonosite) with a 5-10 MHz linear transducer. The default setting, for  
131 muscular examination, is selected. As water perfectly transmits ultrasounds, the use of ultrasound  
132 transmission gel is not needed. Fish are placed on their side in a tank of freshwater and the  
133 transducer is operated in the water, keeping it 1-2cm above the belly of the fish. Sagittal images of  
134 the abdominal cavity are produced, i.e. the transducer is aligned with the lateral line. The  
135 maturation state of each individual is directly assessed from live images on the ultrasound monitor.  
136 Parrs are classified as mature when gonads are detected, or immature when the gonads are too  
137 small to be detected,. Ultrasound snapshots can be stored on the ultrasound machine and then  
138 exported to a USB memory stick for post-processing.

139

#### 140 Comparison of phenotypic and ultrasound methods

141 The comparison of the proportion of parrs that are recorded each year as mature or immature by  
142 each approach is done with a Chi<sup>2</sup> test. The number of mature parrs is defined as the sum of  
143 individuals whose gonads are detected with the ultrasound and individuals whose gonads are not  
144 detected with the ultrasound but that produce milt. Because the probability to detect precocious  
145 maturation with the phenotypic method depends on the probability for a mature male to produce  
146 milt at the time of capture, an analysis is conducted to investigate which biological factor drive this  
147 probability. Empirical records show a large interannual variability in the proportion of maturing parrs  
148 (Baglinière and Maise 1985; Myers et al. 1986). Similarly, the year of capture is expected to affect  
149 milting within mature parrs. Thus, a generalized linear model (GLM) with a binomial distribution of  
150 errors is used to test for a year effect. Then, a mixed model with year as a random intercept and a  
151 binomial distribution of errors is used to test for the effect of age, body length, and their interaction.  
152 A GLM is also run to test whether the probability to miss a mature parr that does not produce milt is  
153 affected by age, body length, operator identity, and time of day (testing for operator tiredness). The  
154 date of capture is not considered because of the low variance in this variable. The significance of the

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156 above-mentioned effects is assessed using the z-value. All models are run in R (R Development Core  
157 Team 2018).

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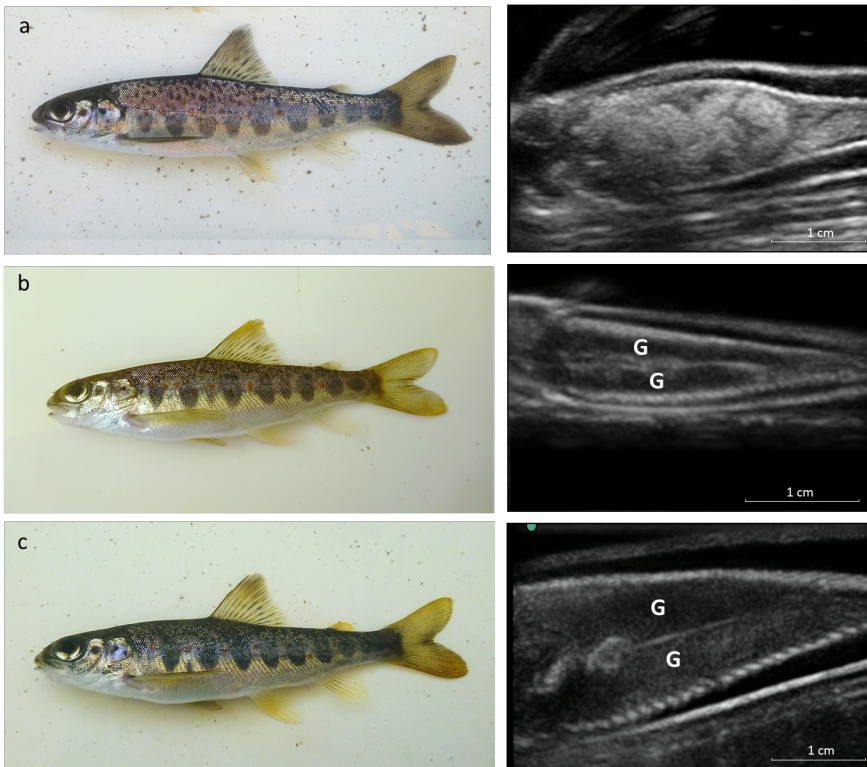
## 159 **Results and discussion**

160 Ultrasound assessment of precocious maturity

161 ~~A trained ultrasound operator conducts~~ ultrasound examination for maturation assessment in ca. 5  
162 seconds. In immature individuals, the liver, stomach, caeca, and intestine can be identified (Figure  
163 1a). Note that all internal organs appear more clearly on live images, during ultrasound examination,  
164 than on the snapshots displayed here. Nevertheless, the heterogeneous filling and uneven  
165 granularity of the abdominal cavity are diagnostic of immature individuals, as well as the concave  
166 shape of the cavity, which appears depressed on both sides. In mature parrs (precocious males),  
167 gonads virtually fill the whole abdominal cavity and ultrasound snapshots depict a homogeneous  
168 and finely granulated pattern (Figure 1b, c); digestive organs are hardly visible and the cavity is  
169 convex. In mature males that do not produce milt (Figure 1b), gonads appear less developed and fill  
170 the cavity to a lesser extent than in males producing milt (Figure 1c), which is consistent with a lower  
171 degree of maturity in the former. Interestingly, ultrasound imaging provides the same objective  
172 diagnosis of maturation in males that do not produce milt (Figure 1b) and ones that do (Figure 1c). It  
173 also discriminates truly mature parrs from well-fed individuals, which the operator can mistake for  
174 mature males upon visual inspection because of their round belly.

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 178 Figure 1. Assessing maturity in salmon parr using phenotypic view (left panel) and ultrasound  
 179 imaging (right panel): a) immature one-year-old parr, b) mature young-of-the-year parr that do  
 180 not produce milt (precocious male), and c) mature one-year-old parr that produce milt (precocious  
 181 male) in river Oir. On all ultrasound snapshots, the head of the fish is on the left but not shown, the  
 182 left flank is towards the bottom of the snapshot and the right towards the top. Gonads are identified  
 183 by the letter "G".

184  
 185 Comparison of phenotypic and ultrasound methods  
 186 The proportion of mature parrs detected by the phenotypic method is much lower and more  
 187 variable (63.8 %, SD = 40.7%) than with the ultrasound method (95.4%, SD = 7.1%,  $p = 0.199$ ). On  
 188 average 33.4% of mature males do not produce milt. A strong year effect is detected ( $p < 0.001$ ) in

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191 the probability to produce milt at the time of capture in mature males. When accounting for this  
192 year effect as a random factor, a strong increase with age (estimate = 5.582, SE = 1.031,  $p < 0.001$ )  
193 and a negative interaction with body length within each age class (estimate = -0.031, SE = 0.008,  $p <$   
194 0.001) are detected. This means that a higher proportion of mature males produce milt in autumn in  
195 old parrs than in young ones, and, within a given age class, in small parrs than in large ones.

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196 Because the probability to detect precocious maturation with the phenotypic method depends on  
197 the probability for a mature male to produce milt at the time of capture, our result highlights that  
198 both intrinsic and environmental factors can affect the level of detection of the precocious state. The  
199 ultrasound method shows that only 16.8% and 13.2% of the mature males are identified as  
200 precocious parrs at the time of capture in 2015 and 2017, while this proportion rises to 87.6% in  
201 2016 (Table 1). Empirical evidence have shown an increase in the proportion of mature parrs as the  
202 parrs are getting older (Baglinière and Maisse 1985; Myers et al. 1986). But this study suggests that  
203 mature parrs develop and produce milt earlier in the season than young ones, which may accentuate  
204 even further the age-specific pattern detected above, with the phenotypic method.

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205 In our study, the maturation state of 106 individuals is uncertain according to the phenotypic  
206 method, i.e. individuals that look like mature parrs but do not produce milt. However, following  
207 ultrasound examination, 89.6 % of those parrs are indeed found to be mature. This result  
208 acknowledges the high level of expertise of our trained operators to assess the maturation state of  
209 parrs from external phenotypic examination. Conversely, gonads are not detected in 10.4% of those  
210 parrs (false positive) and the field operators do not detect milt nor suspect maturity in 5.6 % of the  
211 actually mature males (false negative). This later proportion differs between the two operators ( $p =$   
212 0.008). None of the other variables has a significant effect. This highlights how difficult it is to assess  
213 parr maturation from external examination in the field in a fully reliable and replicable manner.

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215 Contrary to the phenotypic method, it is assumed that the ultrasound method cannot produce false  
216 positives, because gonads large enough to be detected by the ultrasound equipment are only found

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229 in mature parrs. The ultrasound operator missed 2.7% of the males producing milt (false negative).  
 230 The sample size is too small to assess the potential effect of external factors on this proportion  
 231 through multivariate analysis. Still, this shows that ultrasound imaging is still subject to a low degree  
 232 of uncertainty in maturity assessment. The correct interpretation of ultrasound images depends on  
 233 the skill of the operator. Indeed, some training is required to operate the transducer in a fluent way  
 234 and navigate between different anatomic layers. In this regard, a good knowledge of the internal  
 235 structure for the species of interest is a prerequisite. This improves the quality of the ultrasound  
 236 snapshots and minimizes misinterpretation issues. In hindsight, it is also recommended that all  
 237 ultrasounds should be examined and interpreted by multiple operators. This ensures a quick  
 238 examination and thus minimizes the impact on fish wellbeing.

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 240 Table 1. Comparison of the number of records using external phenotypic observation (rows) and  
 241 ultrasound imaging (columns) to assess maturation in parrs each year.

Year	Phenotype method \ ultrasound method	Gonads detected	Gonads not detected
		with ultrasound	with ultrasound
2015	Parr producing milt	17	0
	Parr not producing milt that looks like a mature parr	74	8
	Parr not producing milt that looks like an immature parr	10	73
2016	Parr producing milt	181	2
	Parr not producing milt that looks like a mature parr	20	3
	Parr not producing milt that looks like an immature parr	6	251
2017	Parr producing milt	23	4
	Parr not producing milt that looks like a mature parr	1	0
	Parr not producing milt that looks like an immature parr	3	174

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246 Conclusion

247 Assessing precocious maturation in parrs from phenotypic observation can reach a high level of  
248 accuracy in trained operators. However, this method relies on some subjective criteria and gut  
249 feeling, which render the level of accuracy in the data difficult to quantify. By allowing to see the  
250 unseen, portable ultrasound imaging offers great opportunities for ecological studies in the wild. As  
251 illustrated in this paper, key phenotypic traits become accessible and help better characterize the  
252 true biological state of individuals. Ultrasound imaging is an objective, easily transferable and  
253 replicable approach to investigate precocious maturity in parrs, as it relies on key diagnostic  
254 features. A naïve operator can gain a good expertise and assess precocious maturation with the  
255 ultrasound method within a day, whereas more training would be required to achieve a similar level  
256 of expertise with the phenotypic method. In the end, this study calls for the assessment of  
257 precocious maturation in Atlantic salmon parrs using the two methods together: 1) testing whether  
258 parrs produce milt is a quick examination, and provides an objective and undeniable evidence of  
259 maturity, 2) ultrasound imaging should remove any ambiguity about the state of maturation in parrs  
260 that do not produce milt. Reducing uncertainty in empirical data this way should offer new  
261 opportunities for further research on this alternative breeding strategy in salmon and improve our  
262 understanding of this key biological process.

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